



PATENT  
20072-260726

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington D.C. 20231, on

October 9, 2002

Date of Deposit

Caroline L. Keller

Name

*Caroline L. Keller* 10/9/02  
Signature Date

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#10/B  
10/23/02  
Z. Meier

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

GRUBBS, ET AL.

Serial No.: 09/576,370

Filed: May 22, 2000

For: **IMIDAZOLIDINE-BASED METAL  
CARBENE METATHESIS CATALYSTS**

Art Unit: 1621

Examiner: Porfirio Nazario Gonzalez

**AMENDMENT**

**RESPONSE TO OFFICE ACTION**

Hon. Commissioner for Patents  
And Trademarks  
Washington, D.C. 20231

Dear Sir:

In response to the Office Action dated May 22, 2002 in connection with the above-identified application, please enter and consider the following amendments and remarks:

10/17/2002 SMINASS1 00000012 09576370

01 FC:2202  
02 FC:2201

216.00 OP  
126.00 OP

20388101v1

10/17/2002 SMINASS1 00000012 09576370  
200.00 OP  
03 FC:2252

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated herewith by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

**FEE REQUIREMENTS FOR CLAIMS AS AMENDED**

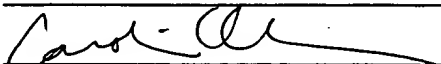
1. Small Entity claim A. <input type="checkbox"/> NOT made B. <input type="checkbox"/> Withdrawn C. <input checked="" type="checkbox"/> made herewith D. <input type="checkbox"/> made previously For B & C See <u>Required Separate Paper</u> (Pat-256)		Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims		64	**minus 40	24	x \$18/\$9 =	+ \$216	103/203
3. Independent Claims		14	***minus 11	3	x \$84/\$42 =	+ \$126	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application) ..... add					+ \$280/\$140 =	+ \$0	104/204
5. Original due Date: August 22, 2002		<input type="checkbox"/> NONE					
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached		(1 mo)	\$110/\$55 =				115/215
		(2 mos)	\$400/\$200 =		+ \$0		116/216
		(3 mos)	\$920/\$460 =				117/217
		(4 mos)	\$1,440/\$720 =				118/218
		(5 mos)	\$1,960/\$980 =				128/228
7. Enter any previous extension fee paid since above original due date and subtract					- \$0		
8.					Extension Fee	+ \$200	
9. If Terminal Disclaimer attached, add Rule 20(d) official fee .....					+ \$110/\$55	+ \$0	148/248
10. If IDS attached requires Official Fee under Rule 97 (c), ..... add					+ \$180	+ \$0	126
or if Rule 97(d) Request ..... add					+ \$180		126
11. After-Final Request Fee per rules 129(a) and 17(r) .....					+ \$740/370	+ \$0	146/246
12. No. of additional inventions for examination per Rule 129(b).....					x \$740/370 ea	+ \$0	149/249
13. Request for Continued Examination (RCE) .....					+ \$740/370	+ \$0	1179/1279
14. Petition fee for .....					+ \$0		
15.					TOTAL FEE =	\$542	
16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".					<b>PLEASE CHARGE OUR DEP. ACCT</b>		
17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.							
18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.							

Our Deposit Account No. 16-1805)  
 (Our Order No. 20072 260726  
 C# M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

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 By Atty: Caroline L. Keller  
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Atty/Sec: CLK/SJB

NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments